

**STATEMENT OF QUALIFICATIONS**

**PART I – CONTRACT SPECIFIC QUALIFICATIONS**

**A. CONTRACT INFORMATION**

1. PROJECT TITLE AND LOCATION (City and State)

Neutral Facilitation Consultant List

2. ANNOUNCEMENT DATE

March 4, 2011

3. PROJECT NUMBER

DAS-11F888

**B. FIRM POINT OF CONTACT**

4. PROJECT REPRESENTATIVE NAME AND TITLE

Kendra Atkinson, Program and Contracts Manager

5. PRESIDENT / CEO

Richard (Dick) Bayer

6. NAME OF FIRM

The Realignment Group, Ltd.

7. TELEPHONE NUMBER

(858) 454-1005

8. FAX NUMBER

(858) 454-1021

9. E-MAIL ADDRESS

[kendra@projectrealign.com](mailto:kendra@projectrealign.com)

10. COUNTY

San Diego

11. FTID NUMBER

[REDACTED]

12. WEB ADDRESS

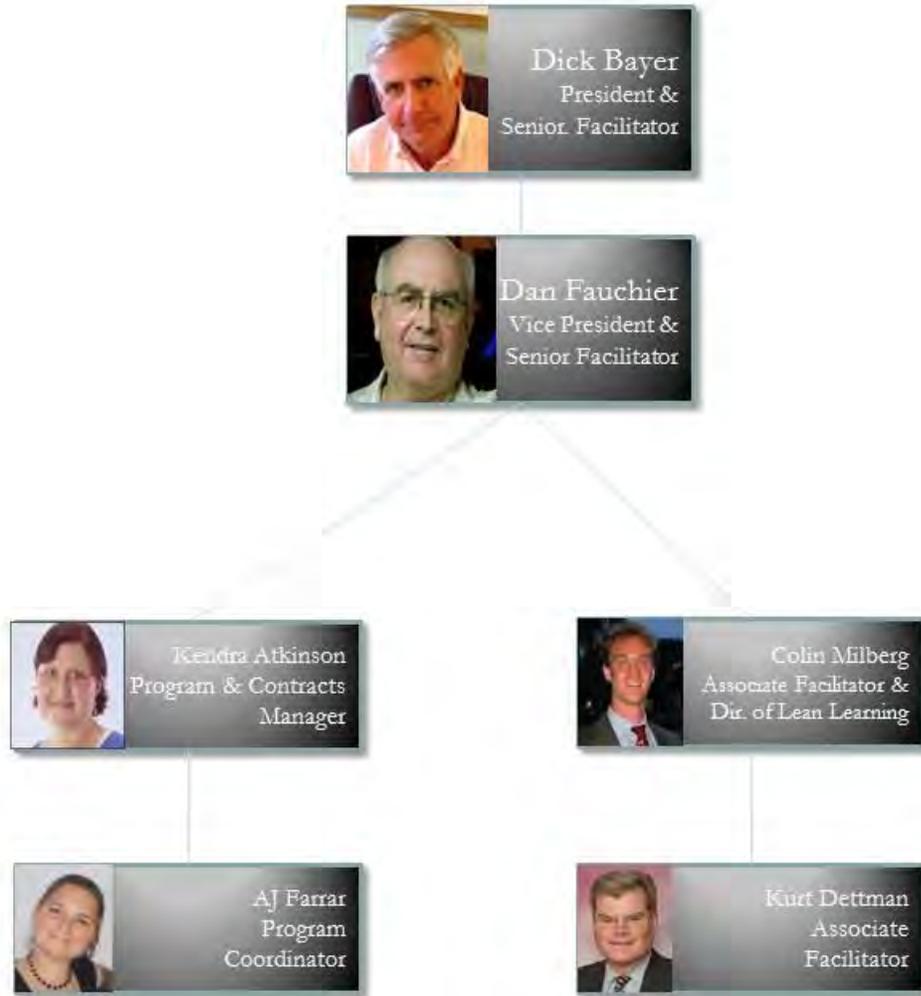
[www.projectrealign.com](http://www.projectrealign.com)

**C. PROPOSED TEAM**

*(Complete this section for the lead firm or joint venture partners, and all key consultants.)*

	<i>(Check)</i>			13. FIRM NAME	14. ADDRESS	15. ROLE IN THIS CONTRACT
	Lead Firm	JV Partner	Consultant			
a.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The Realignment Group, Ltd. <input type="checkbox"/> Check if EDGE certified	PO Box 811, La Jolla, CA 92038 <input type="checkbox"/> Check if branch office Miles from project site _____	Lead Firm
b.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	P3 Collaborative <input type="checkbox"/> Check if EDGE certified	4 Hunter Rd., Princeton, NJ 08540 <input type="checkbox"/> Check if branch office	Third Party Neutral (On Call Consultant)
c.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Press, Potter and Dozier LLC <input type="checkbox"/> Check if EDGE certified	7910 Woodmont Ave, Bethesda, MD 20814 <input type="checkbox"/> Check if branch office	Third Party Neutral (On Call Consultant)
d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Check if EDGE certified	<input type="checkbox"/> Check if branch office	
e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Check if EDGE certified	<input type="checkbox"/> Check if branch office	

**D. ORGANIZATIONAL CHART OF PROPOSED TEAM**  
INSERT ORGANIZATIONAL CHART BELOW OR ATTACH.



The ReAlignment Group, Ltd. - PO Box 811, La Jolla, Ca 92038 - 858.454.1005 - [www.projectrealign.com](http://www.projectrealign.com)

## E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

16. NAME Richard (Dick) Bayer	17. ROLE IN THIS CONTRACT Senior Facilitator	18. YEARS EXPERIENCE	
		a. TOTAL 35	b. WITH CURRENT FIRM 8
19. FIRM NAME AND LOCATION (City and State) The Realignment Group, Ltd.			
20. EDUCATION (DEGREE AND SPECIALIZATION) Juris Doctor/Mediation and Dispute Resolution		21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE) Active Attorney (California); Inactive Attorney (Idaho, Colorado)	
22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)			

Dick Bayer is the president of the ReAlignment Group. Since July, 2010, he has also served as the Interim Executive Director for the [Lean Construction Institute](#). Dick has dedicated his career to solving difficult and intractable problems and delivering claims free projects. He is a licensed attorney, having practiced construction law in Colorado, California and Idaho for the past 35 years. He has been an AAA arbitrator since the mid-1980's and a credentialed mediator since 1996. He has mediated over 300 construction, business, land-use, environmental and other cases in the last twelve years. He is a member of the neutral panel for the [United States Institute for Environmental Conflict Resolution](#). He was selected as the "Professional of the Year: Environmental Law," by Strathmore's Who's Who. He is a former member of the [California Academy of Distinguished Neutrals](#) and several other well-known panels. He has served on the boards of the [Lean Construction Institute](#), the [National Conflict Resolution Center](#) (formerly the San Diego Mediation Center), the [California Independent Petroleum Association](#), [ADR-San Diego](#) and the [California Dispute Resolution Council \(CDRC\)](#), the non-profit advocate for all ADR providers in California. He was president of CDRC in 2005. From 1992 through 2004, he taught Environmental Law at the University of San Diego graduate careers program. With Dan Fauchier, he wrote the Partnering Chapter for the recently released *Megaprojects: Challenges and Recommended Practices* (ACEC, Washington, D.C. 2010). He has written extensively on lean construction, partnering and dispute resolution and is a frequent lecturer on those topics.

### 23. RELEVANT PROJECTS (Up to a maximum of 5 samples)

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
Ohio Department of Transportation (ODOT): Partnering Program Committee and new Partnering Manual & Partnering			1
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;">X Check if project performed with current firm</span>			
a. TRG is leading the Ohio State Partnering Committee in its efforts to re-write the Ohio Department of Transportation Partnering Manual (2009 – present). TRG is conducting quarterly Alignment Partnering sessions to facilitate team building and application of their newly developed ODOT partnering principles on the following ODOT project: Rich Street Bridge Construction (2009 – present).  Ongoing project.			
HKS, Inc./Balfour Beatty Joint Venture—Martin Memorial Hospital Construction, Port St. Lucie, Florida			2
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;">X Check if project performed with current firm</span>			
b. The ReAlignment Group is the IPD facilitation coordinator for the new hospital planned by Martin Memorial in Traditions, Florida (a suburb of Port St. Lucie). TRG successfully managed a four hour interview workshop that introduced the concepts of a values matrix, pull planning and A3 development and use. Coaching work now continues. The project construction budget is approximately \$65 million.  Ongoing Project.			

c.	(1) TITLE AND LOCATION (City and State) Ozanne Construction —Several Projects in the Cleveland Area, Cleveland, Ohio	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES 2011	CONSTRUCTION (If applicable)	3
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input checked="" type="checkbox"/> Check if project performed with current firm</span> Training the construction team in Last Planner and Pull Planning on several projects including a Library remodel and work with the local community college district.				
d.	(1) TITLE AND LOCATION (City and State) San Diego County Water Authority (through The Centre for Organizational Effectiveness)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES 2010	CONSTRUCTION (If applicable)	4
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input checked="" type="checkbox"/> Check if project performed with current firm</span> After successfully facilitating resolution of a \$4 million dispute regarding installation of a hydro-electric plant in one of SDCWA's large pipes, TRG was asked to design a "LPD and Lean Inspired" training and teambuilding program for the Water Authority to restructure project teams on six large Water Authority projects with a capital expenditure of over \$700 Million. Since 2006, we have trained six project teams, facilitated working sessions and quarterly follow-up sessions dealing with Focus/Forecast, Opportunities and Risk Assessment and Mitigation, and Systems Analysis and we perform periodic team trust measurements. Executives at the Water Authority have said we have helped to "change the culture" at the Water Authority. These projects included: Rancho Penasquitos Pressure Control Hydroelectric Project; Lake Hodges Pump Station; Mission Trails Tunnel & Water Tank; San Vicente Interconnect Pipeline; San Vicente Pump Station; and San Vicente Pumping Facility. Last SDCWA project completed 2010. Other projects upcoming.				
e.	(1) TITLE AND LOCATION (City and State) Suffolk Construction—Los Angeles Unified School District South Region Middle School #3 Construction, Los Angeles	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES 2010	CONSTRUCTION (If applicable)	5
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input type="checkbox"/> Check if project performed with current firm</span> South Region Middle School 3 is a large middle school being built by the Los Angeles Unified School District in the Walnut Park neighborhood of Central Los Angeles. The project budget is almost \$100 million. TRG developed, implemented and facilitated a Last Planner system for the field staff in the trailers on site.				

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**E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT**

(Complete one Section E for each key person.)

16. NAME Dan Fauchier	17. ROLE IN THIS CONTRACT Senior Facilitator	18. YEARS EXPERIENCE	
		a. TOTAL 30	b. WITH CURRENT FIRM 8
19. FIRM NAME AND LOCATION (City and State) The Realignment Group, Ltd.			
20. EDUCATION (DEGREE AND SPECIALIZATION) Bachelor of Arts (English, Philosophy), Graduate work in Theology		21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE) Certified Master Facilitator	
22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)			

Dan Fauchier, Vice President of The ReAlignment Group, is an INIFAC Certified Master Facilitator for Project ReAlignment, Lean Construction coaching, Last Planner, Integrated Project Delivery (IPD) and Design-Build facilitation, Alignment Partnering and cross-organizational team effectiveness. With Dick Bayer, he is a contributing writer for the book *Megaprojects: Challenges and Recommended Practices*, published by ACEC in 2010.

Dan has over thirty years' experience as a construction project manager on over 100 projects, design team manager for dozens of Fortune 100 clients, UCSD & SDSU instructor, and California Contractors State License Board Mediator and Arbitrator. In two decades he has performed forensics analysis on over \$3 Billion in public projects nationwide and Alignment Partnering on \$7 Billion in projects coast-to-coast. Since 1997, Dan has trained over 4,000 construction professionals nationwide in nearly 300 one-day and three-day construction management, scheduling and forensics seminars and workshops. Dan is a CMAA San Diego Board member, founder of the CMAA University Dennis Dunne School of Leadership and Facilitation, and was one of only two recipients of the national 2010 CMAA *Distinguished Service Award*. Dan most recently was awarded an Award of Merit in April 2011 by the American Public Works Association, San Diego and Imperial Counties Chapter.

23. RELEVANT PROJECTS (Up to a maximum of 5 samples)

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
California Prison Healthcare Receivership (CPHR) managed by URSBovis Lend Lease JV, Sacramento, California	2009		6
a. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm From July 2008 through October 2009, The ReAlignment Group was the exclusive Lean Partnering facilitation team for the California Prison Healthcare Receivership \$6 Billion design and construction of seven medical and mental health facilities totaling 10,000 beds in the Sacramento central office managed by URS/Bovis Lend Lease JV as well as the seven project sites built by DPR, Hensel-Phelps, Clark-McCarthy JV and designed by a Single Lean architectural team comprised of HOK, HKS, HDR, LBL, Jensen Partners and Stantec.			
McCarthy Building Companies – Kaiser Oakland Replacement Medical Center Projects (KORMC), Oakland, California			7
b. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm The Kaiser Oakland Replacement Medical Center is a \$1Billion project in Oakland, California that includes building a new hospital, a Central energy Plant and a Specialized Medical Office Building. The project was designed by NBBJ in Seattle, Washington. TRG was retained on the project in August, 2010 to develop reverse pull planning capability. Our contract was expanded to meetings and facilitation management in September and has continued 20 hours a week through April 2011, and thereafter for 10 hours per week until 2010. Productivity increases on the project were evident by September.  Ongoing Project.			

	(1) TITLE AND LOCATION (City and State) Swinerton Construction, Sharp Mary Birch Hospital, San Diego, California	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	8
c.	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm Facilitating weekly construction management meetings on the Sharp Mary Birch Hospital Build in San Diego, California, including training and coaching the project managers and trade representatives through weekly work plan pull planning sessions.  Ongoing project.			
	(1) TITLE AND LOCATION (City and State) California Department of Transportation (CalTrans) Partnering and Dispute Resolution, Various Areas, California	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	9
d.	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm TRG is currently or has recently conducted quarterly Alignment Partnering sessions to facilitate team building on the following Caltrans projects: <ul style="list-style-type: none"> <li>o 905 Freeway Construction (Pkg. A), San Diego County (2008-2009)</li> <li>o Interstate 8 Widening, San Diego County (2009 – present)</li> <li>o Ukiah Hwy 101 Concrete Panel Replacement, Mendocino County (2010 – present)</li> </ul> TRG has conducted dispute resolution & Realignment facilitation on the following Caltrans projects: <ul style="list-style-type: none"> <li>o Coronado Bridge Maintenance</li> <li>o Highway 7 Construction, Calexico</li> </ul> Ongoing projects.			
	(1) TITLE AND LOCATION (City and State) EGCA (Engineering General Contractors of America), CMAA (Construction Management Association of America), AGC (Association of General Contractors), Regional Construction Procurement Committee (San Diego, CA)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	10
e.	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm Facilitates diverse work sessions for members, the board and attendees of several professional construction trade organizations. Including facilitating the work sessions at the annual retreat of the EGCA to create a business action plan for the upcoming year.  Ongoing projects.			

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**E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT**

*(Complete one Section E for each key person.)*

16. NAME <b>Colin Milberg</b>	17. ROLE IN THIS CONTRACT <b>Associate Facilitator</b>	18. YEARS EXPERIENCE	
		a. TOTAL <b>10</b>	b. WITH CURRENT FIRM <b>1</b>

19. FIRM NAME AND LOCATION (City and State)  
**The ReAlignment Group (La Jolla, CA)**

20. EDUCATION (DEGREE AND SPECIALIZATION) <b>PhD in Project Engineering and Management in Civil Engineering</b>	21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE) <b>EIT</b>
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22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)  
**Assistant Professor of Construction Engineering at San Diego State university for four years, Co-founder and core member of the Lean Construction Institute San Diego Chapter, Core member of the Lean Construction Institute New England Chapter, Voting Member of the ACI 117 Technical committee on tolerances, Advisory board member for the International Group for Lean Construction, Member of the AGC San Diego education committee, Reviewer for the Lean Construction Journal, Journal of Infrastructure Systems, and Journal of Professional Issues in Engineering, Education and Practice, Author of –Soldier Pile Tolerance Constructability in Slurry Walls,” in the Journal of Performance of Constructed Facilities, Co-Author of the ACI 117 Concrete Constructability Guide, Principle investigator on the Charles Pankow Foundation Grant on –Improved Tolerance Management in Concrete Construction,” expert in three-dimensional laser scanning technology, OSHA 10 and 30 hour instructor.**

23. RELEVANT PROJECTS *(Up to a maximum of 5 samples)*

(1) TITLE AND LOCATION (City and State) <b>Western Massachusetts Women’s Correctional Center Expansion Project (Chicopee, MA)</b>	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER <i>(If included in Section F)</i> <b>11</b>
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	

a. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE X Check if project performed with current firm  
**Lean Construction Consulting on integrated project delivery, target value design and pull scheduling starting in the study phase through to early construction on a \$10 million dollar, 2,500 gross square foot, two story housing unit addition for the correctional center. Pull scheduling is a collaborative reverse phase scheduling process which has been used to schedule and manage the design work with the owner, client, architect, engineers, and construction manager and will be used for construction scheduling where we act as trainers and neutral facilitators. Partnering and team building exercises helped improve collaboration and performance during the project study phase.**  
  
**Ongoing Project.**

(1) TITLE AND LOCATION (City and State) <b>Kaiser Permanente Oakland Medical Center Replacement</b>	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER <i>(If included in Section F)</i> <b>7</b>
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	

b. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE x Check if project performed with current firm  
**Lean Construction Consulting in pull scheduling, root-cause analysis, continuous improvement, visual workplace, meeting management, and facilitation skills on a \$1 billion dollar, 165,000 square foot medical office building, hospital and energy plant. Pull scheduling and meeting management activities where we act as trainers and neutral facilitators have improved schedule and productivity performance while reducing the number and duration of meetings.**  
  
**Ongoing Project.**

(1) TITLE AND LOCATION (City and State) <b>Palomar Medical Center West (Escondido, CA)</b>	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER <i>(If included in Section F)</i> <b>12</b>
	PROFESSIONAL SERVICES <b>2009</b>	CONSTRUCTION (If applicable) <b>2009</b>	

c. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE  Check if project performed with current firm  
**Lean Construction Training in Lean principles and pull scheduling for a \$660 million dollar, 11 story, new medical center. Trained construction manager and subcontractor superintendents and project managers in Lean Construction Management for coordinating field operations and strategies for leveling workflow on a large scale project.**

(1) TITLE AND LOCATION (City and State) <b>Palomar Pomerado Health 465 Grand Building Renovation (Escondido, CA)</b>	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER <i>(If included in Section F)</i>  <b>13</b>
	PROFESSIONAL SERVICES <b>2008</b>	CONSTRUCTION (If applicable) <b>2008</b>	
<p>d. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm</p> <p><b>Lean Construction Consulting on integrated project delivery contracting strategies, pull scheduling, and target value design on a \$1.7 million dollar, 3 story, 12,800 square foot office building renovation. Acting as neutral facilitator, I facilitated a Target value design session which successfully brought costs from \$2.4 Million to 1.7 million dollars and a Pull Scheduling session which brought the schedule down from 16 weeks to 10 weeks with contingency time. Through cost-plus IPD contracting strategies and pull scheduling contingency spending was half that of typical PPH projects.</b></p>			
(1) TITLE AND LOCATION (City and State) <b>Central Artery Tunnel Project C17A2 State to North Street Structural Tunnel (Boston, MA)</b>	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER <i>(If included in Section F)</i>  <b>14</b>
	PROFESSIONAL SERVICES <b>2000</b>	CONSTRUCTION (If applicable) <b>2001</b>	
<p>e. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm</p> <p><b>Project management as the general contractor including project scheduling, look-ahead scheduling, weekly scheduling, quality control, field coordination, field supervision, subcontractor management, building information modeling, and cost management for a \$140 million dollar, 900 foot long section of an 8 lane highway tunnel and 2 lane off-ramp. Successfully implemented Lean Construction practices for managing and coordinating construction activities. Successfully implemented a value engineering change to construct the tunnel top-down instead of bottom-up taking 1 year off the project duration.</b></p>			

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### E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT

(Complete one Section E for each key person.)

16. NAME <b>Kurt Dettman</b>	17. ROLE IN THIS CONTRACT <b>Associate Facilitator</b>	18. YEARS EXPERIENCE	
		a. TOTAL <b>8</b>	b. WITH CURRENT FIRM <b>5</b>

19. FIRM NAME AND LOCATION (City and State) <b>The ReAlignment Group Ltd., La Jolla, CA</b>
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20. EDUCATION (DEGREE AND SPECIALIZATION) <b>Juris Doctor</b>	21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE) <b>Active Attorney (WI, MA)</b>
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22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)  
**Active in all aspects of dispute avoidance and dispute resolution; on dispute resolution panels of the American Arbitration Association, the International Institute for Conflict Prevention and Resolution, the Dispute Resolution Board Foundation, the Massachusetts Office of Public Collaboration. Active member of the Dispute Resolution Section of the American Bar Association; the Dispute Avoidance and Resolution Division of the American Bar Association Forum on the Construction Industry; the Commercial Section of the Association for Conflict Resolution; and the New England Chapter of the Lean Construction Institute. Have published articles on dispute resolution in industry publications, including the ABA Construction Lawyer, the Dispute Resolution Board Foundation Forum. Have received training on dispute avoidance and dispute resolution techniques from the Harvard Program on Negotiation, the Chartered Institute of Arbitrators, the American Arbitration Association, the Dispute Resolution Board Foundation, and ToP Facilitation by ICA-USA.**

#### 23. RELEVANT PROJECTS (Up to a maximum of 5 samples)

a.	(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
	<b>Western Massachusetts Women's Correctional Center Expansion Project (Chicopee, MA)</b>			<b>11</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input checked="" type="checkbox"/> Check if project performed with current firm</span> <b>Member of Lean Facilitation Team on Massachusetts Division of Capital Asset and Management \$14M Women's Correctional Facility.</b>  <b>Project start: December 2010. Ongoing project.</b>			
	<b>City of Columbus Wastewater Project, Columbus OH</b>	<b>2009</b>		<b>15</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input type="checkbox"/> Check if project performed with current firm</span> <b>Facilitated owner (City of Columbus), designer and contractor on \$120M wastewater project to agree on plan for project completion where stop work order had been issued and then mediate commercial claims for project close out.</b>  <b>Project completed 2009.</b>			
	<b>Boston Transit Authority, Boston MA</b>	<b>2009</b>		<b>16</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input type="checkbox"/> Check if project performed with current firm</span> <b>Facilitated negotiations to resolve claims on a transit system signals upgrade project.</b>  <b>Project completed 2009.</b>			
	<b>Various Locations MA</b>			<b>17</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;"><input type="checkbox"/> Check if project performed with current firm</span> (This row is empty in the original document)			

(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

Check if project performed with current firm

Member of team from the MA Office of Public Collaboration on environmental agency issues involving establishment of state forest unit and plan to deal with invasive species, and planning/transportation agencies on extension of transit line in the Boston Area.

Ongoing project. 2009 – present.

(1) TITLE AND LOCATION (City and State)

Various locations OH

(2) YEAR COMPLETED

PROFESSIONAL SERVICES

CONSTRUCTION (if applicable)

(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)

18

e.

(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE

Check if project performed with current firm

Served on Dispute Review Boards for the Ohio Department of Transportation on various bridge and highway projects in Columbus, Cleveland, Dayton and Mason OH.

Ongoing project. 2006 – present.

**E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT**

*(Complete one Section E for each key person.)*

16. NAME <b>Chris Kane</b>	17. ROLE IN THIS CONTRACT <b>Partnering Facilitator, On-Call</b>	18. YEARS EXPERIENCE	
		a. TOTAL <b>30</b>	b. WITH CURRENT FIRM

19. FIRM NAME AND LOCATION (City and State)  
**P3 Collaborative, LLC, Princeton, NJ**

20. EDUCATION (DEGREE AND SPECIALIZATION)  
**Juris Doctor, Bachelor of Science (Engineering)**

21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)  
**Active Attorney (VA and NJ); Professional Engineer (VA)**

22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

**Chris Kane is a licensed engineer, lawyer and mediator with more than 30 years' experience in the real estate and construction industry with special expertise in transit rail projects and collaborative conflict resolution. He has a diverse background in engineering, management, corporate legal counsel, private law practice, project development and was an officer in the U.S. Army Corps of Engineers and a Principal Engineer at George Washington University. Chris has extensive experience dealing with all methods of dispute resolution including dispute review boards, mediations, consensus building, arbitrations, and in state and federal courts. He has served on the American Arbitration Association's Panel of Arbitrators and Mediators in 1994. He has helped resolve all types of construction contract disputes valued from \$5,000 to \$40 million, involving capital projects valued over \$250 million.**

**23. RELEVANT PROJECTS (Up to a maximum of 5 samples)**

	(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
a.	<b>Sierra Pacific Power Company, Pinon Pine Dispute Resolution, Nevada</b>			<b>19</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm <b>Structured multi-step dispute resolution process (Stepped negotiation, mediation, arbitration) on a \$300 million coal gasification, clean coal power project between utility, CM and designer at Sierra Pacific Power Company's Pinon Pine, Coal Gasification Combined Cycle Project in Nevada. \$40 million in disputes successfully resolved through mediation.</b>			
b.	<b>Fairfax Virginia Parochial School Authority Construction Dispute Mediation, Fairfax, VA</b>			<b>20</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm <b>Mediator in successful resolution of \$300,000 construction contract dispute for a new school construction project between a general contractor and private parochial school authority in Fairfax, Virginia.</b>			
c.	<b>New Jersey Department of Property Management Construction Dispute Mediation, New Jersey</b>			<b>21</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm <b>Mediator in successful resolution of \$400,000 construction contract dispute for a water facility between a New Jersey State Agency (Dept. of Property Management) and the general contractor.</b>			
d.	<b>Florida Power Plant Neutral Claims Evaluation, Florida</b>			<b>22</b>
	(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm <b>Provided a third party neutral evaluation of multi-million dollar claims on complex construction contracts for a power plant in Florida, including issues of design defects, scheduling, equipment problems and labor productivity.</b>			
e.	(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
		PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <input type="checkbox"/> Check if project performed with current firm				

**E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT***(Complete one Section E for each key person.)*

16. NAME <b>Daniel Dozier</b>	17. ROLE IN THIS CONTRACT <b>Partnering Facilitator, On-Call</b>	18. YEARS EXPERIENCE	
		a. TOTAL <b>35</b>	b. WITH CURRENT FIRM

19. FIRM NAME AND LOCATION (City and State)  
**Press, Potter & Dozier, LLC, Bethesda, MD**

20. EDUCATION (DEGREE AND SPECIALIZATION)  
**Juris Doctor**

21. CURRENT PROFESSIONAL REGISTRATION (STATE AND DISCIPLINE)  
**Active Attorney (MI, DC, MD)**

22. OTHER PROFESSIONAL QUALIFICATIONS (Publications, Organizations, Training, Awards, etc.)

**Dan Dozier is a Member of the law firm of Press, Potter & Dozier, LLC, which has practice areas in Alternative Dispute Resolution (ADR), Commercial Real Estate, Commercial Finance, Business Law, Creditors Rights, Non-Profit Organizations, Government Contracts, Estate Planning, Intellectual Property, Litigation, and Aviation Law. His practice is focused on providing the full range of ADR services including mediation, facilitation, and stakeholder consultation services as a neutral, and process design and settlement consultation services to clients.**

**Dozier is an internationally recognized pioneer in conflict management and the law. He has been appointed by courts from around the nation to mediate complex environmental cases and is listed on the U.S. District Court for the District of Columbia roster of mediators, the U.S. Court of Appeals for the D.C. Circuit Appellate Mediation Program, the roster of senior environmental mediators maintained by the U.S. Institute of Environmental Conflict Resolution ([www.ecr.gov](http://www.ecr.gov)); the roster of mediators maintained by the U.S. Environmental Protection Agency; the Maryland Circuit Courts Business & Technology Case Management Program roster of mediators, and other rosters of senior mediators. As an attorney specializing in the mediation of complex civil litigation and environmental disputes, Dozier has significant experience settling enforcement disputes involving the releases and resultant exposures from chemical industries and processes. Dozier has more than 25 years of experience mediating complex multi-party civil litigation cases and has worked with a wide range of federal and state statutes. Dozier was an adjunct professor at the Vermont Law School where he taught Environmental Dispute Resolution from 1989 to 2000.**

**23. RELEVANT PROJECTS (Up to a maximum of 5 samples)**

(1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	
a. <b>Asbestos Removal in Schools Negotiated Rulemaking, Washington, DC</b>			<b>23</b>
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;">x Check if project performed with current firm</span> <b>Dozier was a member of a team of facilitators for this large and complex negotiated rulemaking regarding the regulations and standards for asbestos removal from schools.</b>			
b. <b>Carter Industrials site, Detroit, MI</b>			<b>24</b>
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;">x Check if project performed with current firm</span> <b>Managed the construction of a large (100,000+ documents) waste-in database, mediated difficult allocation disputes among more than thirty PRPs, issued non-binding arbitration award to settle allocation disputes to settle the allocation disputes at this scrap metal site.</b>			
c. <b>Folkertsma Landfill, Walker, MI.</b>			<b>25</b>
(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE <span style="float: right;">x Check if project performed with current firm</span> <b>Facilitated procedural agreements, interviewed drivers to obtain information about the site, and wrote an arbitration award that settled all issues among participating and most non-participating parties</b>			
d. (1) TITLE AND LOCATION (City and State)	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)

Mini-trial, Greensboro, NC	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	26
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(4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE x Check if project performed with current firm  
 Dozier presided in a mini-trial, and then mediated discussions among the parties to settle contribution litigation over the cleanup of groundwater in *Van Waters & Rogers v. RECO Industries et al.*, United States District Court for the District of North Carolina.

(1) TITLE AND LOCATION (City and State) American Arbitration Association, Charlotte, NC.	(2) YEAR COMPLETED		(3) EXAMPLE PROJECT KEY NUMBER (If included in Section F)
	PROFESSIONAL SERVICES	CONSTRUCTION (If applicable)	27

e. (4) BRIEF DESCRIPTION (Brief scope, size, cost, etc.) AND SPECIFIC ROLE x Check if project performed with current firm  
 Selected by the parties from AAA commercial panel to mediate an environmental dispute about the cleanup levels, future use and funding the cleanup of a parcel of property, formerly a scrap yard, adjacent to the Charlotte professional football stadium complex. The parties included generator and landowner responsible parties, the city government, and a community group

111  
112  
113

<b>F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT</b> <i>(Present as many projects as requested by the Contracting Authority, or a maximum of 10 projects, if not specified. Complete one Section F for each project.)</i>		24. EXAMPLE PROJECT KEY NUMBER (1 – 10) <b>6</b>
25. TITLE AND LOCATION <i>(City and State)</i> <b>California Prison Healthcare Receivership (CPHR) managed by URSBovis Lend Lease JV, Sacramento, California</b>		26. YEAR COMPLETED PROFESSIONAL SERVICES <b>2009</b>
27. PROJECT OWNER'S INFORMATION		
a. PROJECT OWNER <b>California Prison Receivership</b>	b. POINT OF CONTACT NAME <b>Bill Proctor, Program Director</b>	c. POINT OF CONTACT TELEPHONE NUMBER <b>916-952-8521</b>
28. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT <i>(Include scope, size, and cost)</i>		

From July 2008 through October 2009, Dick Bayer and Dan Fauchier were the exclusive lead neutral facilitators for developing and implementing lean construction processes, team building, meeting organization and facilitation, communication, reporting and documenting the history of the California Prison Healthcare Receivership \$6 Billion design and construction program. The TRG team was onsite 5 days per week for 15 months, for the California Prison Healthcare Receivership Project is a \$6 Billion design and construction of seven medical and mental health facilities totaling 10,000 beds in the Sacramento central office managed by URS/Bovis Lend Lease JV as well as the seven project sites built by DPR, Hensel-Phelps, Clark-McCarthy JV and designed by a Single Lean architectural team comprised of HOK, HKS, HDR, LBL, Jensen Partners and Stantec.

*TRG was instrumental in facilitating our "big room" planning process. During a 6 month planning phase, we brought our PM team, the three design build teams (4 large contractors and 8 large AE firms) and representatives of a number of state agencies, into a centralized work space in Sacramento to work as a single collaborative organization.*

*At its peak, the collaborative team numbered approximately 250 professionals from dozens of firms and state agencies, all working concurrently across a network of tasks.*

*Dick and Dan led the efforts to keep the team organized and focused, and were instrumental in facilitating literally hundreds of work sessions for planning innovative approaches in collaboration, communications, continuous Lean learning, BIM applications, work-flow management, integrated project delivery, and LEED – silver compliance.*

*We were successful in creating a team and approach for a program rich in challenges. Dick and Dan were instrumental in helping develop an approach tailored to the unique challenges. I honestly don't know how we could have done it without them.*

**Bill Proctor**  
**Program Director**  
**URS-Bovis Joint Venture**

29. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT			
a.	(1) FIRM NAME <b>The Realignment Group, Ltd.</b>	(2) FIRM LOCATION <i>(City and State)</i> <b>La Jolla, CA</b>	(3) ROLE <b>Lead Firm</b>
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
a.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE

<b>F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT</b> <i>(Present as many projects as requested by the Contracting Authority, or a maximum of 10 projects, if not specified. Complete one Section F for each project.)</i>		24. EXAMPLE PROJECT KEY NUMBER (1 – 10) <b>11</b>
25. TITLE AND LOCATION <i>(City and State)</i> <b>Western Massachusetts Women's Correctional Center Expansion Project (Chicopee, MA)</b>		26. YEAR COMPLETED PROFESSIONAL SERVICES <b>Ongoing</b>
27. PROJECT OWNER'S INFORMATION		
a. PROJECT OWNER <b>Mass. Division of Capital Asset Management</b>	b. POINT OF CONTACT NAME <b>Luciana Burdi</b>	c. POINT OF CONTACT TELEPHONE NUMBER <b>(617) 727-4050 x 332</b>

28. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*

Neutral Facilitation and Lean Construction Consulting on integrated project delivery, target value design and pull scheduling starting in the study phase through to early construction on a \$10 million dollar, 2,500 gross square foot, two story housing unit addition for the correctional center. Pull scheduling is a collaborative reverse phase scheduling process which has been used to schedule and manage the design work with the owner, client, architect, engineers, and construction manager and will be used for construction scheduling where we act as trainers and neutral facilitators.

Partnering and team building exercises helped improve collaboration and performance during the project study phase.

Ongoing Project.

29. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT			
a.	(1) FIRM NAME <b>The Realignment Group, Ltd.</b>	(2) FIRM LOCATION <i>(City and State)</i> <b>La Jolla, CA</b>	(3) ROLE <b>Lead Firm</b>
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
a.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE

<b>F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT</b> <i>(Present as many projects as requested by the Contracting Authority, or a maximum of 10 projects, if not specified. Complete one Section F for each project.)</i>		24. EXAMPLE PROJECT KEY NUMBER (1 – 10) <b>7</b>
25. TITLE AND LOCATION <i>(City and State)</i> <b>McCarthy Building Companies – Kaiser Oakland Replacement Medical Center Projects (KORMC), Oakland, California</b>		26. YEAR COMPLETED PROFESSIONAL SERVICES <b>Ongoing</b>
27. PROJECT OWNER'S INFORMATION		
a. PROJECT OWNER <b>Kaiser Permanente</b>	b. POINT OF CONTACT NAME <b>Chris Pechacek (McCarthy)</b>	c. POINT OF CONTACT TELEPHONE NUMBER <b>(415) 948-5354</b>
28. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT <i>(Include scope, size, and cost)</i>		

The Kaiser Oakland Replacement Medical Center is a \$1Billion 165,000 square foot project in Oakland, California that includes building a new hospital, a Central energy Plant and a Specialized Medical Office Building. The project was designed by NBBJ in Seattle, Washington.

TRG was retained on the project in August, 2010 to provide management facilitation services and develop reverse pull planning capability. Productivity increases on the project were evident by September and our facilitation activities have improved schedule and productivity performance while reducing the number and duration of meetings.

Based on TRG's success with improving the schedule, TRG's role on the project was expanded to that of trainers and neutral facilitators, 20 hours per week from September 2010 through April 2011, and 10 hours per week thereafter until 2013. TRG now provides facilitation and consulting in pull scheduling, root-cause analysis, continuous improvement, visual workplace, meeting management, and training in facilitation skills.

Ongoing Project.

29. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT			
a.	(1) FIRM NAME <b>The Realignment Group, Ltd.</b>	(2) FIRM LOCATION <i>(City and State)</i> <b>La Jolla, CA</b>	(3) ROLE <b>Lead Firm</b>
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
a.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE

<b>F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S QUALIFICATIONS FOR THIS CONTRACT</b> <i>(Present as many projects as requested by the Contracting Authority, or a maximum of 10 projects, if not specified. Complete one Section F for each project.)</i>	24. EXAMPLE PROJECT KEY NUMBER (1 – 10) <b>4</b>
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25. TITLE AND LOCATION <i>(City and State)</i> <b>San Diego County Water Authority (through The Centre for Organizational Effectiveness) Dispute Resolution and Work Session Facilitation, San Diego, CA</b>	26. YEAR COMPLETED PROFESSIONAL SERVICES <b>2010</b>
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27. PROJECT OWNER'S INFORMATION		
a. PROJECT OWNER <b>San Diego County Water Authority</b>	b. POINT OF CONTACT NAME <b>Kelly Rogers</b>	c. POINT OF CONTACT TELEPHONE NUMBER <b>(858) 522-6831</b>

28. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*

After successfully facilitating resolution of a \$4 million dispute regarding installation of a hydro-electric plant in one of SDCWA's large pipes, TRG was asked to design a "HPD and Lean Inspired" training and teambuilding program for the Water Authority to restructure project teams on six large Water Authority projects with a capital expenditure of over \$700 Million.

Since 2006, we have trained six project teams, facilitated working sessions and quarterly follow-up sessions dealing with Focus/Forecast, Opportunities and Risk Assessment and Mitigation, and Systems Analysis and we perform periodic team trust measurements. Executives at the Water Authority have said we have helped to "change the culture" at the Water Authority.

These projects included: Rancho Penasquitos Pressure Control Hydroelectric Project; Lake Hodges Pump Station; Mission Trails Tunnel & Water Tank; San Vicente Interconnect Pipeline; San Vicente Pump Station; and San Vicente Pumping Facility.

TRG also acted as the facilitators on one of two All American Canal Relining Projects with SDCWA in Imperial County. This was a \$300 million project to provide adequate canal capacity to bring into California 85% of the State's Colorado River allotment. Parties had dramatically accelerated the schedule for completion due to environmental concerns addressed outside of the contract. Acceleration meant that drops into hydroelectric production facilities would be made during the high water season. The owner was uncomfortable with the submittals concerning water issues and stopped the project. TRG facilitated a resolution through peer review that was accomplished in the week following the session.

Last SDCWA project completed 2010. Other projects upcoming.

29. FIRMS FROM SECTION C INVOLVED WITH THIS PROJECT			
a.	(1) FIRM NAME <b>The Realignment Group, Ltd.</b>	(2) FIRM LOCATION <i>(City and State)</i> <b>La Jolla, CA</b>	(3) ROLE <b>Lead Firm</b>
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
a.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE



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## H. ADDITIONAL INFORMATION

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34a. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE CONTRACTING AUTHORITY. ATTACH ADDITIONAL SHEETS AS NEEDED.

The ReAlignment Group Ltd. proposes to provide partnering facilitation services. These partnering facilitation services will avoid, mitigate and resolve the majority of conflict, disputes and claims that may arise on projects, but TRG's experience has shown that having pre-approved third party neutral resources available to a project if needed is a valuable addition to dispute prevention and dispute resolution systems design and implementation. Therefore, TRG proposes that Christopher Kane and Dan Dozier be made available as on-call third party neutrals to be utilized if and when needed.

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**H. ADDITIONAL INFORMATION**

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34b. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE CONTRACTING AUTHORITY. ATTACH ADDITIONAL SHEETS AS NEEDED.

**PROPOSER AFFIRMATION AND DISCLOSURE**

The Lead Firm or Joint Venture (“Proposer”) acknowledges that by signing this Statement of Qualifications, that it affirms, understands, and will abide by the requirements of Executive Order 2010-09S issued by Ohio Governor Ted Strickland. If awarded a Contract, the Proposer affirms that both the Proposer and its Consultants shall perform no services requested under the Agreement outside of the United States. The Executive Order is available at the following Web site: <http://www.governor.ohio.gov/Default.aspx?tabid=1495>.

The Proposer shall provide the locations where services under the Contract will be performed in the spaces provided below or by attachment. Failure to provide this information as part of its Statement of Qualifications will cause the Proposer to be deemed non-responsive and no further consideration will be given to its Statement of Qualifications. If the Proposer will not be using Consultants, indicate “Not Applicable” in the appropriate spaces.

1. Principal business location of the Proposer:

**785 Bellevue Place**  
Address

**La Jolla, CA 92037**  
City, State, Zip

2. Location where services will be performed by Proposer:

**Onsite, Project Locations**  
Address

**Cities as needed, Ohio**  
City, State, Zip

Locations where services will be performed by Consultants:

**Onsite, Project Locations**  
Address

**Cities as needed, Ohio**  
City, State, Zip

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

3. Location where state data will be stored, accessed, tested, maintained, or backed-up, by Proposer:

**785 Bellevue Place**  
Address

**La Jolla, CA 92037**  
City, State, Zip

Locations where state data will be stored, accessed, tested, maintained, or backed-up by Consultants:

**785 Bellevue Place**  
Address

**La Jolla, CA 92037**  
City, State, Zip

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

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H. ADDITIONAL INFORMATION

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34c. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE CONTRACTING AUTHORITY. USE THE NEXT PAGE OR ATTACH ADDITIONAL SHEETS AS NEEDED.

**COMMITMENT TO PARTICIPATE  
IN THE  
EDGE BUSINESS ASSISTANCE PROGRAM**

**Professional Services Firm: Mark only one option.**

Use “✓” or “X” to mark option included in contract award amount.

If marking Option B, also show percentage of proposed participation.

**Option A**

The Lead Firm or Joint Venture (“Proposer”) commits to *meet or exceed* the advertised EDGE Participation Goal of the award amount, calculated as a portion of the Basic Fee plus all accepted Additional Service Fees and Reimbursable Expenses, by using EDGE-certified Business Enterprise(s).

The Proposer agrees that if selected for consideration of the Contract, it shall provide to the Contracting Authority, at the location required within 10 business days after receiving notice from the Contracting Authority, its Technical Proposal, including a *Certified Statement of Intent To Contract and To Perform* form for each EDGE-certified Business Enterprise proposed for use by the Proposer if awarded the Contract for this Project.

**Option B (also indicate percentage -- see text )**

The Proposer *does not meet* the advertised EDGE Participation Goal percentage, but, if awarded the Contract for this Project, *commits to provide* \_\_\_\_\_ **percent of the Contract award amount**, calculated as a portion of the Basic Fee plus all accepted Additional Service Fees and Reimbursable Expenses, by using EDGE-certified Business Enterprise(s).

The Proposer acknowledges it understands the requirement for it to provide and agrees to provide to the Contracting Authority, if selected for consideration of the Contract, within 10 business days after notice from the Contracting Authority, a letter requesting a waiver of the EDGE participation goal percentage on the Proposer’s letterhead with a detailed *Demonstration of Good Faith* form describing its efforts undertaken prior to submitting its Statement of Qualifications to meet the advertised EDGE Participation Goal percentage for the Contract for this Project, and full documentation to substantiate its efforts.

The Proposer commits to provide to the Contracting Authority at the location required within 10 business days after receiving notice from the Contracting Authority, its Technical Proposal, including a *Certified Statement of Intent To Contract and To Perform* form for each EDGE-certified Business Enterprise proposed for use by the Proposer if awarded the Contract for this Project.

**Option C**

The Proposer declares that it is an EDGE-certified Business Enterprise and that if awarded the Contract, the EDGE Participation percentage will be 100% of the award amount.

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I. AUTHORIZED REPRESENTATIVE  
The foregoing is a statement of facts.



36. DATE  
4/19/2011

35. SIGNATURE  
37. NAME AND TITLE

Kendra Atkinson, Program and Contracts Manager

# STATEMENT OF QUALIFICATIONS

1. PROJECT NUMBER (If any)  
**DAS-11F888**

## PART II – GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work)

2a. FIRM (OR BRANCH OFFICE) NAME <b>The Realignment Group, Ltd.</b>				3. YR ESTABLISHED <b>8</b>	4. FTID NUMBER <b>[REDACTED]</b>
2b. STREET <b>PO Box 811</b>				5. OWNERSHIP <b>Corporation</b>	
2c. CITY <b>La Jolla</b>	2d. STATE <b>CA</b>	2e. ZIP CODE <b>92038</b>	2f. COUNTY <b>San Diego</b>	b. EDGE STATUS <b>Not-Certified</b>	
6a. POINT OF CONTACT NAME AND TITLE <b>Kendra Atkinson</b>		6b. PRESIDENT/CEO <b>Richard (Dick) Bayer</b>		7. NAME OF FIRM (If Block 2a is a branch office) <b>n/a</b>	
6c. TELEPHONE NUMBER <b>(858) 454-1005</b>		6d. E-MAIL ADDRESS <b>Kendra@projectrealign.com</b>			
8a. FORMER FIRM NAME(S) (If any) <b>n/a</b>				8b. YR ESTABLISHED <b>n/a</b>	8c. FTID NUMBER <b>n/a</b>

9. EMPLOYEES BY DISCIPLINE				10. PROFILE OF FIRM'S EXPERIENCE AND ANNUAL AVERAGE REVENUE FOR LAST 5 YEARS		
a. Function Code	b. Discipline	c. No. of Employees		a. Profile Code	b. Experience	c. Revenue Index Number (see below)
		(1) LICENSED	(2) NON-LICENSED			
<b>02</b>	<b>Support/Administrative</b>		<b>2</b>	<b>A06</b>	<b>AIRPORT</b>	<b>1</b>
	<b>Neutral Facilitation</b>		<b>4</b>	<b>B02</b>	<b>BRIDGE</b>	<b>1</b>
				<b>C11</b>	<b>COMMUNITY FACILITIES</b>	<b>1</b>
				<b>D01</b>	<b>DAMS</b>	<b>1</b>
				<b>E02</b>	<b>EDUCATIONAL FACILITIES</b>	<b>2</b>
				<b>F03</b>	<b>FIRE PROTECTION</b>	<b>1</b>
				<b>H07</b>	<b>HIGHWAYS</b>	<b>2</b>
				<b>H09</b>	<b>HOSPITALS</b>	<b>5</b>
				<b>N01</b>	<b>NAVAL</b>	<b>1</b>
				<b>P08</b>	<b>PRISONS</b>	<b>12</b>
				<b>R08</b>	<b>RESEARCH FACILITIES</b>	<b>1</b>
				<b>R10</b>	<b>RISK ANALYSIS</b>	<b>2</b>
				<b>S04</b>	<b>WASTEWATER</b>	<b>1</b>
				<b>U03</b>	<b>UTILITY</b>	<b>1</b>
			<b>103</b>	<b>WATER SUPPLY</b>	<b>3</b>	
				<b>OTHER FACILITATION/CONSULTING</b>	<b>3</b>	
				<b>MEDIATIONS</b>	<b>1</b>	
				<b>PORT OF ENTRY</b>	<b>1</b>	
	Other Employees					
		Total	<b>6</b>			

11. ANNUAL AVERAGE PROFESSIONAL SERVICES REVENUES OF FIRM FOR LAST 2 YEARS (Insert revenue index number shown at right)		PROFESSIONAL SERVICES REVENUE INDEX NUMBER			
a. Work for this Contracting Authority		1. Less than \$50,000	6. \$400,000 to less than \$500,000	11. \$900,000 to less than \$1,000,000	
b. Other State Work (see instructions)	<b>1</b>	2. \$50,000 to less than \$100,000	7. \$500,000 to less than \$600,000	12. \$1,000,000 to less than \$2,000,000	
c. Total State Work	<b>1</b>	3. \$100,000 to less than \$200,000	8. \$600,000 to less than \$700,000	13. \$2,000,000 to less than \$5,000,000	
		4. \$200,000 to less than \$300,000	9. \$700,000 to less than \$800,000	14. \$5,000,000 to less than \$10,000,000	
		5. \$300,000 to less than \$400,000	10. \$800,000 to less than \$900,000	15. \$10,000,000 or greater	

**12. AUTHORIZED REPRESENTATIVE**  
The foregoing is a statement of facts.

a. SIGNATURE  c. NAME AND TITLE <b>Kendra Atkinson, Program and Contracts Manager</b>	b. DATE <b>4/19/2011</b>
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Provide a separate Part II form for each firm or branch office participating on the proposed project team.